



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Carl M. Ellison et al.

Application No.: 09/539,344

Docket No.: P8098

Filed: 3/31/00

For: Managing A Secure Environment
Using A Hierarchical Executive Architecture
In Isolated Execution Mode

Examiner: Grigory Gurshman

Art Unit: 2132

Phone No.: (703) 306-2900

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

COMMISSIONER FOR PATENTS

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Dear Sir:

Enclosed please find an Information Disclosure Statement, which is being submitted after the mailing of the first Office Action but before the mailing of a Final Office Action. A copy of each cited reference is also enclosed.

In accordance with 37 C.F.R. § 1.97(e), I hereby state that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject

09/539,344

application, and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicants respectfully request that the Examiner consider the cited reference(s), initial each reference on the enclosed Information Disclosure Statement to indicate such consideration, and return a copy of the initialed Information Disclosure Statement to Applicants. If the Examiner has any questions, the Examiner is invited to contact the undersigned at (512) 732-3927.

Respectfully submitted,

Dated: _____

9/20/04



Michael R. Barré
Patent Attorney
Intel Americas, Inc.
Registration No. 44,023
(512) 732-3927

c/o Blakely, Sokoloff, Taylor &
Zafman, LLP
12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026

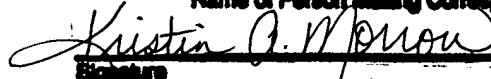
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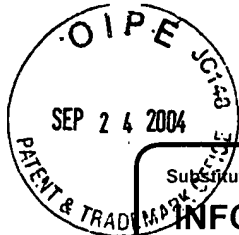
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STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	09/539,344
Filing Date	March 31, 2000
First Named Inventor	Carl M. Ellison
Art Unit	2132
Examiner Name	G. Gurshman
Attorney Docket Number	42390P8098

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-6,226,749 B1	05-01-2001	Carloganu et al.	
	2	US-5,303,378	04-12-1994	Cohen	
	3	US-5,522,075	05-28-1996	Robinson et al.	
	4	US-5,668,971	09-16-1997	Neufeld	
	5	US-5,898,883	04-27-1999	Fujii et al.	
	6	US-5,950,221	09-07-1999	Draves et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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¹Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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